

## PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicants:</b>	Hansen, Peter	<b>Art Unit:</b>	3694
<b>Serial No. :</b>	10/825,726	<b>Examiner:</b>	MERCHANT, SHAHID R.
<b>Filing Date:</b>	April 15, 2004	<b>Applicant's Docket No. :</b>	NYF-104(US)
<b>Confirmation No. :</b>	8821	<b>Title:</b>	PROCESS FOR PROVIDING TIMELY QUALITY INDICATION OF MARKET TRADES

**Certificate of Transmission**

I hereby certify that this communication is being electronically submitted to the U.S. Patent and Trademark Office by EFS on March 16, 2011.

  
Alan Gardner**RESPONSE TO THE OFFICE ACTION**  
**OF SEPTEMBER 16, 2010****I. INTRODUCTORY COMMENTS**

- REQUEST FOR CONSIDERATION OF RESPONSE**

This "RESPONSE TO THE OFFICE ACTION OF September 16, 2010" replies to the outstanding office action in this case, and distinctly and specifically points out the errors in the Examiner's action, as well as responding to every ground of objection and rejection set forth in such office action. This response is a bona fide attempt to advance the application to allowance. In light of the amendments (if any) and remarks set forth below, Applicant requests that the Examiner reconsider the Examiner's stance with respect to the patentability of the claims and Applicant seeks further examination of the application. Applicant hereby

requests that any objections or requirements as to form not necessary to further consideration of the claims be held in abeyance until allowable subject matter is indicated.

- REQUEST FOR AND PAYMENT OF EXTENSION FEE

A three month extension is believed to be due with the filing of this response. Applicant hereby provides the Commissioner with the authority to debit Kelley Drye & Warren's Deposit Account No. 11-0404 for any and all necessary fees. If extensions of time under 37 C.F.R. § 1.136 are required to prevent abandonment of the present patent application, presently or in the future, then such extensions of time are hereby petitioned and any fees therefore are also authorized to be charged to Kelley Drye & Warren's Deposit Account No. 11-0404. While not believed to be applicable in this case, Applicant notes 37 C. F. R. §1.7 which states "[w]hen the day, or the last day fixed by statute or by or under this part for taking any action or paying any fee in the United States Patent and Trademark Office falls on Saturday, Sunday, or a Federal holiday within the District of Columbia, the action may be taken, or the fee paid, on the next succeeding business day which is not a Saturday, Sunday or a Federal holiday."

- LOCATION OF SPECIFIED SECTIONS FOR SCANNING OF THIS DOCUMENT

SECTIONS OF DOCUMENT	LOCATION OF SECTION
I. INTRODUCTORY COMMENTS	Pages 1-3
II. AMENDMENTS TO THE CLAIMS	Pages 4 - 10
III. REMARKS/ARGUMENTS	Pages 11 – 24
IV. APPENDIX	Pages 25

- REQUEST FOR ENTRANCE OF AMENDMENTS AND CONSIDERATION OF ARGUMENTS

Applicants respectfully request entrance of the amendments (if any), and consideration of its arguments set forth below. Amended/new claims that may be construed as more limiting in scope than the scope of any of the claims prior to amendment/cancellation/addition should not be construed as an abandonment of any subject matter no longer claimed, nor should the amended/new claims be construed in any manner to

waive or limit the equivalent structures of any element recited in such claims that would otherwise be available under the Doctrine of Equivalents with respect to the unamended/canceled claims.

Amendment/cancellation/addition of the claims is not in any manner intended to, and should not be construed to, waive Applicant's right in the future to seek protection for the subject matter claimed in prior claims, or to seek protection for similar matter in any continuation, divisional, continuation-in-part, RCE, CPA or any other application claiming priority to or through the present application. Applicants expressly reserve the right to file applications directed to the subject matter covered by any canceled or previously submitted claim, as well as any unclaimed subject matter disclosed in the specification.